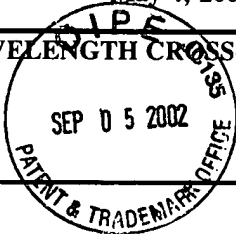



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. UC97-157-8
Applicant(s): OLAV SOLGAARD ET AL.			
Serial No. 09/849,096	Filing Date May 4, 2001	Examiner LEE, JOHN D.	Group Art Unit 2874
Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH			RECEIVED SEP 11 2002 TECHNOLOGY CENTER 2800
<div style="text-align: center;"></div> <p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; min-height: 40px;">Information Disclosure Citation (PTO-1449) (Page 1)</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>September 5, 2002</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><div style="margin-bottom: 10px;"><u>Jerry V. King</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></div><div style="margin-bottom: 10px;"> <i>(Signature of Person Mailing Correspondence)</i></div><div><u>EL508300923US</u> <i>("Express Mail" Mailing Label Number)</i></div></div>			

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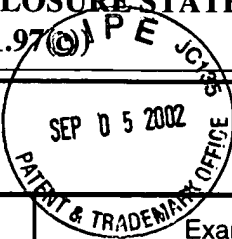
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. UC97-156-8
In Re Application Of: OLAV SOLGAARD ET AL.			
Serial No. 09/849,096	Filing Date MAY 4, 2001	Examiner LEE, JOHN D.	Group Art Unit 2874
Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH			RECEIVED SEP 11 2002 TECHNOLOGY CENTER 2800
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<p align="center">37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p align="center">37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p align="center">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
UC97-156-8

In Re Application: OLAV SOLGAARD ET AL.



Serial No.

09/849,096

Filing Date

MAY 4, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

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TECHNOLOGY CENTER 2800**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Dated: September 5, 2002

JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-157-8

Serial No.

09/849,096

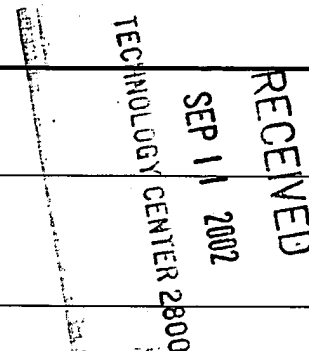
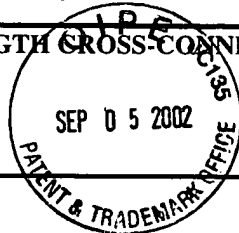
Filing Date

May 4, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

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Transmittal of Information Disclosure Statement (Page 1 & 2)*(Identify type of correspondence)*

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September 5, 2002*(Date)***Jerry V. King***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL508300923US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-157-8

Serial No.

09/849,096

Filing Date

May 4, 2001

Examiner

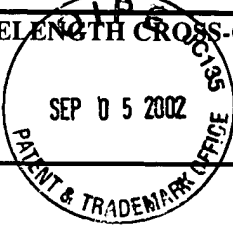
LEE, JOHN D.

Group Art Unit

2874

Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

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September 5, 2002

(Date)

Jerry V. King

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EL508300923US

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